



Anti-inflammatory phomalichenones from an endolichenic fungus *Phoma* sp.

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Received: 20 February 2018 / Revised: 27 March 2018 / Accepted: 30 March 2018 / Published online: 26 April 2018
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Abstract

Four new compounds, phomalichenones A–D (**1–4**), and seven known compounds (**5–11**) were isolated from the cultures of an endolichenic fungus *Phoma* sp. EL002650. Their structures were determined by the analysis of their spectroscopic data (NMR and MS). Compounds **1** and **6** inhibited nitric oxide (NO) production in lipopolysaccharide (LPS)-stimulated RAW264.7 macrophages. In addition, compound **1** diminished the protein expression levels of inducible nitric oxide synthase (iNOS) and cyclooxygenase-2 (COX-2), and decreased the mRNA expression levels of pro-inflammatory cytokines, such as tumor necrosis factor- α (TNF- α), interleukin(IL)-1 β , and IL-6.

Lichens are composite organisms consisting of a fungal organism (mycobiont) and a photosynthesizing organism (photobiont), such as algae or cyanobacteria [1, 2]. Endolichenic fungi are found living with lichen-forming fungi similarly to endophytic fungi living symbiotically with the healthy tissues of plants [2–4]. Since metabolites from endolichenic fungi were first reported 10 years ago, research on endolichenic fungal secondary metabolites has

increased, and endolichenic fungi have become a proven source of bioactive secondary metabolites [2], including alkaloids [5, 6], quinones [7–10], peptides [11], chromones [12], and terpenes [13–16]. These metabolites have shown antiviral, antibacterial, antifungal, and anti-Alzheimer's disease activities. We investigated new bioactive compounds from the endolichenic fungus *Phoma* sp. EL002650. The fungal strain *Phoma* sp. was cultured in potato dextrose broth (PDB, 5 l) for 7 days at 25 °C, and the broth and mycelia extracts were partitioned with EtOAc/H₂O. Four new phomalone derivatives phomalichenones A–D (**1–4**) and seven known (**5–11**) compounds were separated from the EtOAc extract. Herein, we describe their isolation, structure elucidation, and biological activities.

Compound **1** was obtained as a yellow amorphous powder. The molecular formula of **1** was deduced as C₁₃H₁₆O₄ based on the analysis of the HRESIMS and NMR data. The ¹H, ¹³C, and DEPT data in conjunction with the HSQC-DEPT spectrum of **1** suggested the presence of 13 carbons, containing one carbonyl carbon (δ_C 191.8), five nonprotonated carbons (δ_C 164.6, 162.9, 160.4, 109.4, and 103.8), three olefinic methine carbons (δ_C 142.1, 131.7, and 90.9), one methoxy carbon (δ_C 55.5), one methylene carbon (δ_C 15.1), and two methyl carbons (δ_C 18.3, and 13.5). The ¹H NMR data of **1** indicated the presence of two coupled olefinic protons (δ_H 7.23 and 6.94), one singlet olefinic proton (δ_H 6.06), one methoxy proton (δ_H 3.79), one methylene proton (δ_H 2.45), one doublet methyl proton (δ_H

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Electronic supplementary material The online version of this article (<https://doi.org/10.1038/s41429-018-0058-7>) contains supplementary material, which is available to authorized users.

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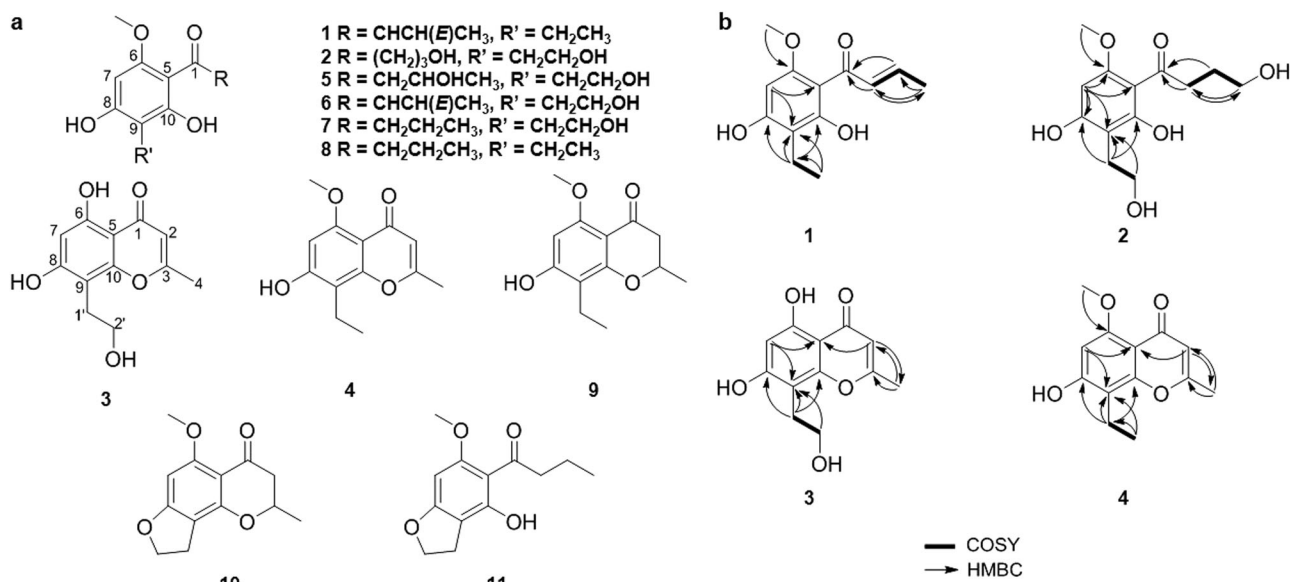
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Table 1 NMR spectroscopic data for **1**, **2**, **3**, and **4** in DMSO-*d*₆ at 700 MHz for ¹H and 175 MHz for ¹³C

Position	1		2		3		4	
	δ_C , type	δ_H (mult, <i>J</i> in Hz)	δ_C , type	δ_H (mult, <i>J</i> in Hz)	δ_C , type	δ_H (mult, <i>J</i> in Hz)	δ_C , type	δ_H (mult, <i>J</i> in Hz)
1	191.8, C		204.1, C		181.4, C		175.9, C	
2	131.7, CH	7.23 (d, 14.7)	39.6, CH ₂	2.93 (t, 7.4)	107.6, CH	6.10 (s)	110.6, CH	5.88 (s)
3	142.1, CH	6.94 (dq, 14.7, 7.0)	27.9, CH ₂	1.71 (m)	166.8, C		162.7, C	
4	18.3, CH ₃	1.92 (d, 7.0)	60.4, CH ₂	3.43 (t, 6.4)	19.8, CH ₃	2.32 (s)	19.1, CH ₃	2.26 (s)
5	103.8, C		103.4, C		102.4, C		106.9, C	
6	160.4, C		161.1, C		155.9, C		157.9, C	
7	90.9, CH	6.06 (s)	91.2, CH	5.98 (s)	93.2, CH	6.31 (s)	95.8, CH	6.42 (s)
8	162.9, C		165.1, C		158.9, C		159.5, C	
9	109.4, C		104.7, C		108.6, C		109.1, C	
10	164.6, C		164.3, C		164.4, C		156.7, C	
1'	15.1, CH ₂	2.45 (q, 7.4)	26.1, CH ₂	2.63 (t, 7.7)	26.1, CH ₂	2.71 (t, 7.0)	15.6, CH ₂	2.64 (q, 7.4)
2'	13.5, CH ₃	0.98 (t, 7.4)	60.1, CH ₂	3.38 (t, 7.7)	59.8, CH ₂	3.44 (t, 7.0)	13.8, CH ₃	1.07 (t, 7.4)
1-OCH ₃	55.5, CH ₃	3.79 (s)	55.4, CH ₃	3.77 (s)			55.5, CH ₃	3.72 (s)

**Fig. 1** (a) Structures of **1–11**, (b) Key 2D correlations of compounds **1–4**

1.92), and one triplet methyl proton (δ_H 0.98) (Table 1). The interpretation of the 2D NMR data, including the COSY, HSQC-DEPT, and HMBC spectra, led to the construction of the planar structure of **1** (Fig. 1b). The COSY correlations of H₂-1'/H₃-2' and the HMBC correlations of H₃-2' to C-1' and C-9 and H₂-1' to C-8, C-9, and C-10 indicated the presence of an ethyl side chain that was connected at C-9. Another side chain was established by the COSY correlations of H-2/H-3/H₃-4 and the HMBC correlations of H-2 to C-1 and C-4, H-3 to C-1, and H₃-4 to C-2. Thus, the planar

structure of phomalichenone A (**1**) was assigned as shown in Fig. 1. The large coupling constants ($J_{H_2-H_3} = 14.7$ Hz) revealed that the H-2 and H-3 double bond had a *trans* configuration.

Compound **2** was isolated as a white amorphous powder. Its molecular formula was established as C₁₃H₁₈O₆ by the HRESIMS and NMR data. The ¹H and ¹³C NMR data of **2** were closely similar to those of phomalone (**7**) (Supplementary Figures S8 and S35). The different resonance was an oxymethylene at C-4, which was confirmed by the

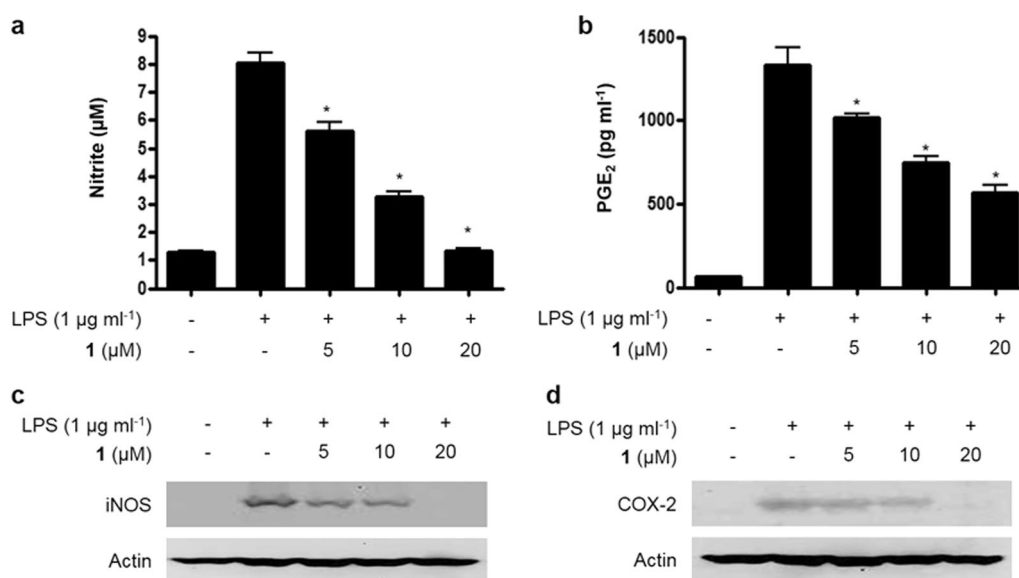


Fig. 2 Effects of **1** on nitrite content (**a**), PGE₂ production (**b**), and protein expression levels of iNOS and COX-2 in RAW264.7 cells stimulated with LPS (**c**, **d**). The cells were pre-treated for 3 h with the

indicated concentrations of **1** and stimulated for 24 h with LPS (1 µg ml⁻¹). **p* < 0.05 compared with the group treated with LPS

COSY correlation of H₂-2/H₂-3/H₂-4. The hydroxylation of C-4 was supported by the deshielded signals of C-4 (δ_C 60.4) and H₂-4 (δ_H 3.43). Therefore, the structure of **2** was designated as phomalichenone B (**2**).

Compound **3** was isolated as a white amorphous powder. The molecular formula of **3** was determined to be C₁₂H₁₂O₅ by the HRESIMS and NMR data. The analysis of the ¹³C NMR and HSQC-DEPT data suggested the presence of 12 carbons, comprising one carbonyl carbon (δ_C 181.4), six nonprotonated carbons (δ_C 166.8, 164.4, 158.9, 155.9, 108.6, and 102.4), two olefinic carbons (δ_C 107.6 and 93.2), two methylene carbons (δ_C 59.8 and 26.1), and one methyl carbon (δ_C 19.8). The ¹H NMR data indicated two single olefinic protons (δ_H 6.31 and 6.10), one oxymethylene proton (δ_H 3.44), one methylene proton (δ_H 2.71), and one methyl proton (δ_H 2.32) (Table 1). The HMBC correlations of H-2 to C-4 and C-5 and H-7 to C-5 and C-9 established the chromen-4-one structure. The COSY correlations of H₂-1'/H₂-2' and the HMBC correlations of H₂-2' to C-1' and C-9 and H₂-1' to C-8, C-9, and C-10 indicated the presence of a hydroxyethyl side-chain that was connected at C-9. Thus, the planar structure of **3** was assigned as a new member of the chromone family and designated as phomalichenone C (**3**).

Compound **4** was obtained as a white amorphous powder. The molecular formula of **4** was deduced as C₁₃H₁₄O₄ by the HRESIMS and NMR data. The ¹H and ¹³C NMR spectra of **4** showed similarity to those of **3**. The different resonances were a methoxy group of C-6 and a methyl at C-2', which were supported by the HMBC correlation of 6-OCH₃ to C-6 and the COSY correlation of H₂-1'/H₃-2'

(Fig. 1b). The structure of **4** was similar to that of **3** and designated as phomalichenone D (**4**).

Compound **5** is known, although the NMR data of **5** was not reported [17]. We report the NMR data of (2,4-dihydroxy-3-(2-hydroxyethyl)-6-methoxyphenyl)-3-hydroxybutan-1-one (**5**) in this study (Supplementary Figures S29–33).

The other compounds were determined to be (*E*)-1-(2,4-dihydroxy-3-(2-hydroxyethyl)-6-methoxyphenyl)but-2-en-1-one (**6**) [18], phomalone (**7**) [18], deoxyphomalone (**8**) [18], 8-ethyl-7-hydroxy-5-methoxy-2-methylchroman-4-one (**9**) [19], LL-D253γ (**10**) [19], and 4-hydroxy-6-methoxy-5-(1'-oxobutyl) benzodihydrofuran (**11**) [18] by comparison of our data with that in the published literature.

To evaluate the anti-inflammatory effect of compounds **1–11**, we investigated their inhibitory effects on the nitric oxide (NO) production in lipopolysaccharide (LPS)-induced RAW264.7 macrophages. Cytotoxic effects of **1–11** on RAW264.7 cells were evaluated, cell viability was not altered by the exposure to **1–11** at concentrations of 5–40 µM for 24 h; however, cell viability decreased by the exposure to **1** at a concentration of 80 µM for 24 h (Supplementary Figure S40). **1** and **6** significantly inhibited the NO production with IC₅₀ values of 9.4 ± 0.5 and 7.4 ± 2.8 µM, respectively, whereas **2–5** and **7–11** were inactive (Fig. 2a and Supplementary Table S1). This result suggests that the presence of the double bond on the side chain may play an important role for the inhibitory effects of NO production in LPS-stimulated RAW264.7 cells. Under the same conditions, compound **1** decreased PGE₂ production in a dose-dependent manner measured by enzyme

immunoassay with IC_{50} values of $12.7 \pm 1.5 \mu\text{M}$ (Fig. 2b). The overproduction of NO and PGE_2 is associated with the overexpression of inducible nitric oxide synthesis (iNOS) and cyclooxygenase-2 (COX-2) in LPS-induced RAW264.7 cells. In the Western blot analysis, the protein expression levels of iNOS and COX-2 in RAW264.7 cells were significantly up-regulated in response to LPS, while **1** suppressed iNOS and COX-2 protein expression in LPS-treated cells in a dose-dependent manner (Fig. 2c,d). Pro-inflammatory cytokines, such as tumor necrosis factor- α (TNF- α), interleukin (IL)-1 β , and IL-6, have been reported to be important mediators of inflammation [20]. To further examine the anti-inflammatory effect of **1** in LPS-induced RAW264.7 cells, the mRNA expression levels of pro-inflammatory cytokines IL-1 β , IL-6, and TNF- α were estimated by RT-qPCR analysis in the cells stimulated with LPS ($1 \mu\text{g ml}^{-1}$) for 6 h. The transcript levels of IL-1 β , IL-6, and TNF- α were decreased in a dose-dependent manner in LPS-treated RAW264.7 cells (Supplementary Figure S41). The nuclear factor-kappa B (NF- κ B) is known to play a key role in the expression of pro-inflammatory enzymes and cytokines, such as iNOS, COX-2, TNF- α and interleukins [21]. Therefore, it is proposed that **1** might suppress the activation of NF- κ B in LPS-induced RAW264.7 cells.

In summary, phomalichenones A–D (**1–4**), from endolichenic fungus *Phoma* sp. EL002650, are new members of the phomalone derivatives and chromone skeleton. In the evaluation of the anti-inflammatory effects of the isolated compounds, compounds **1** and **6** suppressed the production of NO in LPS-stimulated RAW264.7 cells. Especially, compounds **1** and **6** have a double bond on their side chain. Although the structure–activity relationships of phomalone derivatives with a double bond have not been thoroughly investigated, our results suggest that the presence of a double bond on the side chain may be important for the inhibitory effect against NO production. In addition, the anti-inflammatory effect of **1** was confirmed by observing that **1** inhibited the production of PGE_2 and suppressed the protein levels of iNOS and COX-2. Also, **1** blocked the mRNA transcription of pro-inflammatory cytokines, such as TNF- α , IL-1 β , and IL-6 in LPS-stimulated RAW264.7 cells.

Acknowledgements This work was supported by the International Joint Research Project (ASIA-16-011) of the NST (National Research Council of Science & Technology), Young Researcher Program (NRF-2017R1C1B2002602) of the NRF (National Research Foundation of Korea), and KRIBB Research Initiative Program funded by the Ministry of Science ICT (MSIT) of Republic of Korea. We thank the Korea Basic Science Institute, Ochang Korea, for providing the NMR (700 MHz) and HRESIMS data.

Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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